### Flexible Architectures for Next-Generation Optical Networks

Tanjila Ahmed Department of Electrical and Computer Engineering University of California, Davis Sept 25, 2020



# Outline

### • Introduction

- Contribution I: High-precision time synchronization techniques for optical datacenter networks and a zero-overhead microsecond-accuracy solution
- Contribution II: Dynamic routing, spectrum, and modulation format assignment in co-existing fixed/flex-grid optical networks
- Contribution II: Cost-efficient C+L Bands Upgrade Strategies to Sustain Long-term Traffic Growth.
- Conclusion and future research ideas



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# **Flexible Network Architectures**

A flexible network solution enables customization of network operations according to the changing network demand



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# Agenda

 $\checkmark$  Introduction to the problem

- ✓ Proposed solution
  - PTP time synchronization in an optical datacenter network with zero-overhead transmission and microsecond-accuracy

✓ Results

✓ Summary and future work





# Time Synchronization

Coordinating independent clocks running on different machines with a standard time

**Atomic Clocks** 

Use resonance frequencies of atoms

Global Navigation Satellite Systems:

• Periodically synchronize to atomic clocks

Oscillator Type	Accuracy	Cost	
Quartz crystal	$10^{-5}$ to $10^{-4}$	Inexpensive	
Rubidium	10 <sup>-9</sup>	\$800 USD	
Cesium	$10^{-13}$ to $10^{-12}$	\$50000 USD	



Atomic Clock, Boulder, CO, USA

Source: https://en.wikipedia.org/wiki/NIST-F1

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10/04/2<sup>M</sup>. L'evesque and D. Tipper, " A Survey of Clock Synchronization Over Packet-Switched Networks," *IEEE Communications Surveys & Tutorials*, vol. 18, no. 4, pp. 2926-2947, Nov. 2016.

# Time Synchronization: Distributed Systems

# Multiple clocks in a distributed system needs to maintain same global time!



10/04/20 M. L'evesque and D. Tipper, "A Survey of Clock Synchronization Over Packet-Switched Networks," *IEEE Communications* 7 *Surveys & Tutorials,* vol. 18, no. 4, pp. 2926-2947, Nov. 2016.



# Time Synchronization Protocols: NTP



# Time Synchronization Protocols: PTP



### Time Synchronization Protocols: PTP



Avg path delay = 
$$\frac{(t_{s1} - t_{m1}) + (t_{m2} - t_{s2})}{2}$$
$$= \frac{(22 - 1) + (8 - 25)}{2} = 2 us$$
Time of fset =  $t_{s1} - t_{m1} - Avg$  path delay
$$= 22 - 1 - 2 = 19 us$$

Slave clock time = 32 - 19 = 13 us

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# Packet Switched Optical Datacenter Network (PSON)



# **Problem Statement**

- Given:
  - PSON datacenter Architecture
  - Three traffic distributions: Lognormal, Pareto, Uniform
  - PTP enabled ToR switches
  - Controller with atomic/GPS clock information
- Objective:
  - Synchronize ToR switches in a PSON with microsecond accuracy.



## **Proposed Algorithm**

Algorithm 1 PTP Time Synchronization Through In-Band Transmission

- 1: **Input:** packet size, traffic load, link bandwidth of datacenter, number of ToR switches, resynchronization-interval time;
- 2: Output: Time error;
- 3: Initialize counter times for all ToR switches (limit time at resynchronization-interval time);
- 4: Create a list of candidate ToR switches which have counter time equal to resynchronizationinterval time (that means this ToR switch needs to be synchronized);
- 5: if ToR switch  $\epsilon$  candidate list then
- 6: wait\_sync\_time  $\leftarrow$  wait time for data packets in deframer to send 'sync' message to
- 7: candidate ToR switches with packets generated from a specific traffic distribution;
- 8: wait\_delay\_req\_time  $\leftarrow$  wait time for data packets in framer to send 'delay request'
- 9: message to controller with packets generated from the same traffic distribution;
- 10: counter time of that ToR  $\leftarrow$  zero;
- 11: Time error  $\leftarrow$  wait\_sync\_time + wait\_delay\_req\_time;
- 12: Candidate list  $\leftarrow$  candidate list synced TOR;
- 13: else
- 14: counter time of that ToR  $\leftarrow$  counter time++;
- 15: end if
- 16: Repeat from Step 4;



# **Proposed Method**



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# Simulation setup

- Number of ToR Switch: 80 •
- Number of Framer/deframer: 80 •
- Maximum length of PTP message: ~300 bits ۲
- Data packet length: 200 to 1400 bytes ۲
- Re-synchronization interval: 1 second ullet





## **Performance Evaluation Metric**

 $Time - error = Sync_msg_{wait} + Delay_req_{wait} + Delay_res_{wait}$ 

Sync\_msg<sub>wait</sub> = wait time for data packets in deframer to send 'Sync'message to ToR switches Delay\_req<sub>wait</sub> = for data packets in framer to send 'Delay request', message to controller Delay\_res<sub>wait</sub> = for data packets in deframer to send 'Delay response', message to ToR switches

- Traffic load
- Packet-length
- Traffic distribution

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# Results: Time-error vs. Load (Lognormal)



# Results: Time-error vs. Load (1400 bytes)



Time-error is the highest for packet length of 1400 bytes. Pareto distribution obtains highest time-error among others

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# Results: Time-error vs. Load (200 bytes)



Time-error is the lowest for packet length of 200 bytes. Pareto distribution obtains highest time-error among others

# Summary and Future Research Ideas

- Tested performance of PTP for a PSON datacenter architecture with zerooverhead and microsecond-accuracy.
- If the data packets are short and load is high PTP can achieve submicrosecond accuracy

Future work:

- Implementing boundary clocks with multiple sub-networks
- Implementing an traffic adaptive resynchronization-time transmission



# Publications

• T. Ahmed, S. Rahman, M. Tornatore, K. Kim, B. Mukherjee, "A survey on high-precision time synchronization techniques for optical datacenter networks and a zero-overhead microsecond-accuracy solution," *Photonic Network Communications,* vol. 36, no. 1, pp. 56-67, Aug. 2018.



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- Contribution I: High-precision time synchronization techniques for optical datacenter networks and a zero-overhead microsecond-accuracy solution
- Contribution II: Dynamic routing, spectrum, and modulation format assignment in co-existing fixed/flex-grid optical networks
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# Agenda

 $\checkmark$  Introduction to the problem

 $\checkmark$  Proposed solution

• Spectrum efficient dynamic routing, spectrum, and modulation format assignment in a co-existing fixed/flexgrid network

✓ Results

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✓ Summary and future work





# **Fixed-Grid Optical Link**



# **Fixed-Grid Optical Link**



# Flex-Grid Optical Link



# Migration from Fixed to Flex Grid: "Mixed-grid" Case



Migration decision depends on:

- Network load
- Population/DC placement
- Upgrade costs



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# Spectrum and Modulation Format Assignment: Mixed-grid

Spectrum occupation for various bit-rates.

Traffic	Fixed-Grid		Flex-Grid	
Demand (Gb/s)	Bandwidth (GHz)	#Wavelengths	Bandwidth Gap (GHz)	# Slots
40	50	1	25	2
100	50	1	37.5	3
200	100	2	75	6
400	200	4	150	12

BPSK < QPSK < 8QAM < 16QAM < 32QAM BPSK : Binary phase shift keying QPSK : Quadrature phase shift keying QAM: Quadrature amplitude modulation

### Distance and spectrum occupation in flex-grid.

Traffic Demand (Gb/s)	Modulation Format	Operating Bandwidth (GHz)	Distance (km)	#Slots
	BPSK	50	6000	4
40	QPSK	25	3000	2
	8QAM	12.5	1000	1
	BPSK	75	4500	6
100	QPSK	50	3500	4
	QPSK	37.5	3000	3
	8QAM	25	2500	2
	16QAM	18.75	1500	2
	BPSK	100	2500	8
200	QPSK	75	1500	6
	8QAM	62.5	1000	5
	16QAM	43.75	700	4
	32QAM	37.5	500	3

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	BPSK	75	4500	6
	QPSK	50	3500	4
100	QPSK	37.5	3000	3
	8QAM	25	2500	2
	16QAM	18.75	1500	2
	BPSK	100	2500	8
	OPSK	75	1500	6
200	8QAM	62.5	1000	5
	16QAM	43.75	700	4
	32QAM	37.5	500	3





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With Distance adaptive

modulation format

# Problem Statement

- Given:
  - Topology
  - Arrival rate of traffic flows
  - Set of traffic profiles
  - Set of fixed/flex-grid node locations with limited spectrum resources and constraints
- Objective:
  - In a mixed-grid scenario provision optimal routes, spectrum, and modulation format with minimum bandwidth blocking



## **Proposed Algorithm**

Algorithm 1 Spectrum Efficient Dynamic Route and Spectrum Allocation (SEDRA)

1: **Input:**  $N(V, E), p_{s,d}, \alpha_{s,d};$ 2: Output: Route, Spectrum, and Modulation Format; 3: for each connection request  $(\alpha_{s,d})$  do  $P_{s,d} \leftarrow \text{find set of k-shortest paths } \alpha_{s,d};$ 4: ▷ list of candidate paths with available spectrum 5: for each  $p_{s,d}$  in  $P_{s,d}$  do 6: if  $(spectrum\_avail(p_{s,d}, \alpha_{s,d}) = True)$  then 7:  $\kappa_{s,d} \leftarrow \kappa_{s,d} \cup p_{s,d};$ 8: end if 9: end for 10: for each  $p_{s,d}$  in  $\kappa_{s,d}$  do 11:  $m \leftarrow modulation\_format(p_{s,d}, \alpha_{s,d});$ 12:  $\gamma_T^p \leftarrow calculate\_spectrum(p_{s,d}, \alpha_{s,d}, m);$ 13:  $\triangleright$  find path requiring least spectrum for  $\alpha_{s,d}$ 14: if  $\gamma_T^p$  is lowest then 15:  $\begin{array}{l} \gamma_{min}^{p} \leftarrow \gamma_{T}^{p}; \\ p_{s,d}^{best} \leftarrow p_{s,d}; \\ m^{best} \leftarrow m; \end{array}$ 16: 17: 18: end if 19: end for 20: Allocate lightpath on  $p_{s,d}^{best}$  using modulation format 21:  $m^{best}$  to achieve minimum spectrum allocation of  $\gamma_{min}^p$ ; 22: end for

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# Spectrum Efficient Dynamic Route and spectrum Allocation (SEDRA)



#### Spectrum occupation for various bit-rates.

Traffic Demand (Gb/s)	Fixed-Grid		Flex-Grid	
	Bandwidth (GHz)	#Wavelengths	Bandwidth Gap (GHz)	# Slots
40	50	1	25	2
100	50	1	37.5	3
200	100	2	75	6
400	200	4	150	12

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✓ Path 1, 5-7-8-1 (3 fixed-grid & 1 flex-grid nodes): (50\*3) GHz = 150 GHz
✓ Path 2, 5-4-3-1 (1 fixed-grid & 3 flex-grid nodes): (50 + 37.5\*2) GHz = 125 GHz
✓ Path 3, 5-6-3-1 (2 fixed-grid & 2 flex-grid nodes): (50\*2 + 37.5) GHz = 137.5 GHz

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# SEDRA with Distance-Adaptive Modulation Assignment (SEDRA-DA)



#### Distance and spectrum occupation in flex-grid.

Traffic Demand (Gb/s)	Modulation Format	Operating Bandwidth (GHz)	Distance (km)	#Slots
100	BPSK	75	4500	6
	QPSK	50	3500	4
	QPSK	37.5	3000	3
	8QAM	25	2500	2
	16QAM	18.75	1500	2

- ✓ Non-distance adaptive approach, 5-4-3-1 (1 fixed-grid & 3 flex-grid nodes, QPSK, 3000 kms): (50 + 37.5\*2) GHz = 125 GHz
- ✓ Distance adaptive (DA) approach, 5-4-3-1 (1 fixed-grid & 3 flex-grid nodes, 8QAM, 2500 kms): (50 + 25\*2) GHz = 100 GHz


# **Baseline Strategies**

Routing:

- Shortest Path First (SPF)
- Most Slots First (MSF)
- Largest Slot-over-Hops First (LSoHF)

Spectrum allocation:

- First Fit (FF)
- Random Fit (RF)
- Reusable Spectrum Allocation First (RSAF)



## Simulation Setup

- 14 node NSFnet topology
- 7 flex-grid nodes and 7 fixed grid nodes
- 100 Wavelength channels for fixed-grid links
- 400 frequency slots for flex-grid links
- Poisson inter-arrival
- Exponential holding time





#### **Performance Evaluation Metrics**

 $Bandwidth \ blocking \ ratio = \frac{Rejected \ bandwidth}{Total \ requested \ bandwidth}$  $Spectral \ utilization \ ratio = \frac{Average \ spectrum \ occupied}{N \ bardwidth}$ 

Network Capacity





#### Results: BBR vs. Offered Load



#### Results: BBR vs. Offered Load

## Results: Spectrum Utilization vs. Offered Load



## Results: Average Hop Count vs. Offered Load

7 Shortest Path First (SPF) Most Slots First (MSF) Largest Slot-over-Hops 6 First (LSoHF) Average Hops per Path Spectrum Efficient Dynamic Route and spectrum Allocation (SEDRA) **Reusable Spectrum** Allocation First (RSAF) Avg. hop count at 22% load -SPF RSAF SPF 2.3 SEDRA RSAF 1 **SEDRA 2.5** -MSF RSAF Lohf RSAF 0 SEDRA uses around 8% more number of hops compared to SPF Normalized Offered Load UCDAVIS

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## Results: BBR vs. Offered Load (varying no. of flex-grid nodes)

- Spectrum Efficient Dynamic Route and spectrum Allocation (SEDRA)
- Distance-adaptive modulation (DA)



BBR decreases with increases number of flex-grid nodes

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# Summary and Future Research Ideas

- A mixed-grid-aware spectrum-efficient solution called SEDRA is proposed for a dynamic traffic scenario
- Illustrative results show 80% BBR reduction with cost of 8% increased hop count is achieved compared to SPF for NSFnet-14 network
- 20% of spectrum utilization is obtained using SEDRA compared to SPF NSFnet network

Future work:

Applying insights gained from analysis of SEDRA to take migration decisions



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## Publications

- T. Ahmed, S. Rahman, M. Tornatore, X. Yu, K. Kim and B. Mukherjee, "Dynamic Routing and Spectrum Assignment in Co-Existing Fixed/Flex-Grid Optical Networks," *Proc., Advanced Networks and Telecommunications Systems (ANTS)*, Indore, India, Dec. 2018.
- T. Ahmed, S. Rahman, S. Ferdousi, M. Tornatore, A. Mitra, B. C. Chatterjee, and B. Mukherjee, "Dynamic Routing, Spectrum, and Modulation Format Assignment in Co-Existing Mixed-Grid Optical Networks," to be submitted to *Journal of Optical Communications and Networking*.



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# Outline

✓ Multiband optical line systems
✓ Spectrally–spatially flexible optical networks
✓ Spectrum trading in virtual optical networks





#### **Multiband Optical Line Systems**





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# Challenges of Using Multiband Transmission

- Attenuation is higher than C band
- Quality-of-transmission estimator (QoT-E)
  - 1. Amplified spontaneous emission (ASE) noise
  - 2. Nonlinear impairments



# Spectrally–Spatially Flexible Optical Networks

- 1. Routing
- 2. Spectrum
- 3. Modulation format
- 4. Core



Courtesy: Andrea Marotta, Networks lab, UC Davis

# Spectrally–Spatially Flexible Optical Networks



M. Klinkowski and G. Zalewski, "Dynamic Crosstalk-Aware Lightpath Provisioning in Spectrally–Spatially Flexible Optical Networks," Journal of Optical 10/04/2 Communications and Networking, vol. 11, no. 5, pp. 213–225, May 2019.

## Spectrum Trading

- Network virtualization splits capacity of a physical network into multiple independent virtual optical networks (VONs)
- Allocated with fixed capacity
- Real-time capacity fluctuation
- Large idle capacity & capacity shortage is observed on virtual links





S. Ding, X. Fu, B. Jiang, S. K. Bose, and G. Shen, "Spectrum Trading between Virtual Optical Networks Embedded in an Elastic Optical Network," *Proc., Optical Fiber Communication Conference*, Mar. 2019. 54

#### Spectrum Trading



W. Xie, J. Zhu, C. Huang, M. Luo, and W. Chou, "Dynamic resource pooling and trading mechanism in flexible-grid optical network **UCDAVIS** 10/04/20 virtualization," *Proc., Cloud Networking*, pp. 167-172, Oct. 2014.

# Conclusion

- Importance of flexible network architecture
- Ultra low latency (sub-microsecond) is achievable in a distributed system like a datacenter using data plane transmission and PTP time synchronization protocol
- A mixed-grid-aware resource provisioning technique can obtain high spectrum efficiency by allocating lightpaths considering all the interoperability challenges





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# Appendix





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## **Photonic Frame**



## **Ethernet Frame**



Source: http://www.dcs.gla.ac.uk/~lewis/networkpages/m04s03EthernetFrame.htm



### Results: Time-error vs. Load (Pareto)



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### Results: Time-error vs. Load (Uniform)



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# **Performance Evaluation Metrics**

#### **Offered load**

= arrival rate \* avg request size \* avg holding time\* Avg path length/Network Capacity

#### **Network Capacity**

= #fixed links \* channel capacity in GHz \* Spectral Efficiency of fixed grid + #flex link \* channel capacity in GHz \* Spectral Efficiency of flex-grid

Spectral Efficiency of fixed grid = 100/50 = 2 bits/sec/Hz Spectral Efficiency of fixed grid = 100/37.5 = 2.6 bits/sec/Hz





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#### Results: BBR vs. Offered load

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### Results: Request Blocked vs. Traffic Demand













## **Traffic Profiles**

#### **Traffic Profiles**

Traffic Demand (Gb/s)	Profile 1	Profile 2	Profile 3
40	50%	20%	0%
100	30%	50%	40%
200	15%	20%	40%
400	5%	10%	20%



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#### **Proposed Algorithm**

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#### **Proposed Algorithm**

Algorithm 2 spectrum\_avail()

- 1: **Input:**  $p_{s,d}, \alpha_{s,d};$
- 2: Output: Boolean, spectrum available or not;
- 3:  $m \leftarrow modulation\_format(p_{s,d}, \alpha_{s,d});$
- 4: for each link l in  $p_{s,d}$  do
- 5:  $\gamma_l^p \leftarrow mixed\_grid\_spectrum(s, l_s, l_e, \alpha_{s,d}, m);$
- 6: Requested no. of slots,  $n \leftarrow \gamma_l^p / W_{fl}$ ;
- 7:  $\triangleright$  find *n* contiguous slots on link *l*
- 8: if  $\psi_l^n == false$  then
- 9: return false;
- 10: **end if**
- 11: end for
- 12: return true;




## **Proposed Algorithm**

Algorithm 3 mixed\_grid\_spectrum()

```
1: Input: s, l_s, l_e, \alpha_{s,d}, m;
 2: Output: \gamma_l^p;
 3: if \phi_s == 0 then
         if \phi_{l_s} == 0 then
 4:
             calculate\_spectrum(0, \alpha_{s.d}, m)
 5:
                                ▷ check node type: fixed/flex-grid;
 6:
         else if (\phi_{l_s} == 1 & \phi_{l_e} == 0) then
 7:
             calculate_spectrum(0, \alpha_{s,d}, m);
 8:
         else if (\phi_{l_s} == 1 \& \phi_{l_e} == 1) then
 9:
             calculate_spectrum(1, \alpha_{s,d}, m);
10:
         end if
11:
12: else
         if \phi_{l_a} == 1 then
13:
             calculate\_spectrum(1, \alpha_{s,d}, m);
14:
         else if (\phi_{l_s} == 0 \& \phi_{l_e} == 1) then
15:
             calculate\_spectrum(0, \alpha_{s,d}, m);
16:
         else if (\phi_{l_s} == 0 \& \phi_{l_e} == 0) then
17:
18:
             calculate_spectrum(0, \alpha_{s,d}, m);
         end if
19:
20: end if
21: return \gamma_1^p;
```

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## Proposed Algorithm

Algorithm 4 calculate_spectrum()	Algorithm 5 modulation_format()
1: Input: $\phi_v, \alpha_{s,d}, m;$	1: <b>Input:</b> $p_{s,d}, \alpha_{s,d};$
2: Output: $\gamma_T^p$ ;	2: <b>Output:</b> <i>m</i> ;
3: $\gamma_T^p \leftarrow 0;$	3: $p^l \leftarrow$ find path-length of path $p_{s,d}$ ;
4: for each link $l$ in $p_{s,d}$ do	4: $p^{fixed} \leftarrow \text{find if } p_{s,d} \text{ has all fixed-grid nodes};$
5: $\gamma_l^p \leftarrow \text{find minimum required spectrum for } \alpha_{s,d} \text{ and}$	5: if $p_{fixed} == True$ then
modulation format $m$ from Table I and II;	6: return QPSK;
$6: \qquad \gamma_T^p \leftarrow \gamma_T^p + \gamma_l^p;$	7: <b>else</b>
7: end for	8: return highest modulation format with reach $p^l$ for $\alpha_{s,d}$
8: return $\gamma_T^p$ ;	using II;
	9: end if



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